Using Product Formulation Statements in the Child and Adult Care Food Program (CACFP)

This guidance applies to meals and snacks served in child care centers, family day care homes, emergency shelters, at-risk afterschool care centers, and adult day care centers that participate in the U.S. Department of Agriculture's (USDA) CACFP.

CACFP facilities must be able to document that commercial foods (such as burritos, pizza, and chicken nuggets) provide the amount of the food components being credited toward by the CACFP meal patterns. For example, to credit a commercially prepared beef and cheese burrito as 2 ounces of the meat/meat alternates component, CACFP facilities must obtain documentation from the manufacturer indicating that one serving of the product contains 2 ounces of cooked lean meat and cheese.

Menu planners cannot determine the amount of the CACFP meal pattern components in a product by reading the Nutrition Facts label or ingredients. If a commercial product does not have a Child Nutrition (CN) label, the CACFP facility must obtain a product formulation statement (PFS) from the manufacturer that demonstrates how the product contributes to the CACFP meal patterns.

A PFS is a document developed by manufacturers that provides specific information about how a product credits toward the USDA's meal patterns for Child Nutrition Programs. It generally includes a detailed explanation of what the product contains and indicates the amount of each ingredient in the product by weight. The information on a PFS can vary among manufacturers because the USDA does not monitor PFS forms. To document a product's crediting information, the PFS must:

- indicate how the product credits toward the USDA's meal pattern requirements;
- document how the manufacturer obtained the crediting information by citing specific Child Nutrition Program resources or regulations such as the USDA's Food Buying Guide for Child Nutrition Programs (FBG) and the USDA's policy on crediting foods (such as the USDA's policy memos for the CACFP and Food and Nutrition Service (FNS) instructions for Child Nutrition Programs); and
- be prepared on company letterhead with the signature of a company official and the date of issue. The signature can be handwritten, stamped, or electronic.

Table 1 compares the criteria for CN labels and PFS forms. Only CN labels provide a guarantee of the product's contribution to the CACFP meal patterns. The USDA does not monitor PFS forms for compliance with the product's actual formulation or stated contribution to the meal pattern requirements. CACFP facilities must check the manufacturer's crediting information for accuracy prior to including the product in reimbursable meals. All creditable ingredients in the PFS must match a description in the FBG. CACFP facilities should request supporting documentation from manufacturers, verify its accuracy, and maintain this documentation on file for the HNP's Administrative Review of the Child Nutrition Programs.

Table 1. Comparison of CN labels and PFS forms		
Criteria	CN label ¹	PFS forms
Standard information required	Yes	No
Reviewed and monitored by the USDA	Yes	No
Includes USDA's guarantee of meal component contribution for Child Nutrition Programs	Yes	No
Distinct six-digit product identification number	Yes	No
CACFP facility must check crediting information for accuracy	No	Yes

For information on CN labels, see the handout, *Using Child Nutrition (CN) Labels in the CACFP*, and the USDA's CN Labeling Food Manufacturers/Industry web-page.

Reviewing PFS Forms

An appropriate PFS form will provide specific information about the product and show how the manufacturer obtained the meal pattern crediting information by citing Child Nutrition Program regulations or the USDA's resources such as USDA policy memos, FNS instructions, and other USDA policy guidance. CACFP facilities must review this information for accuracy using the guidance below.

- 1. **Review the PFS prior to purchasing processed products.** The USDA has several resources to assist sponsors with this process, including a reviewer's checklist and sample PFS forms for the meat/meat alternates, grains, fruits, and vegetables components. These documents are available on the USDA's Food Manufacturers/Industry webpage and the direct links below.
 - Product Formulation Statement for Documenting Vegetables and Fruits: https://fns-prod.azureedge.net/sites/default/files/PFSfv.pdf
 - Product Formulation Statement for Grains:
 https://fns-prod.azureedge.net/sites/default/files/PFSgrains13-14.pdf
 - Product Formulation Statement for Meat/Meat Alternate Products: https://fns-prod.azureedge.net/sites/default/files/PFSmma.pdf
 - Questions and Answers on Alternate Protein Products (APP): https://fns-prod.azureedge.net/sites/default/files/APPindustryfaqs.pdf
 - Reviewer's Checklist for Evaluating Manufacturer Product Formulation Statements
 (Product Analysis) for Meat/Meat Alternate (M/MA) Products:
 https://fns-prod.azureedge.net/sites/default/files/reviewer_checklist.pdf
 - Sample Completed Product Formulation Statement for Fruits: https://fns-prod.azureedge.net/sites/default/files/PFSsamplefruits.pdf

- Sample Completed Product Formulation Statement for Grains: https://fns-prod.azureedge.net/sites/default/files/PFSsamplegrains.pdf
- Sample Completed Product Formulation Statement for Vegetables: https://fns-prod.azureedge.net/sites/default/files/PFSsamplevegetables.pdf

Manufacturers may need to modify the USDA's PFS forms for various types of commercial products. For example, cheese pizza could have crediting information for the vegetables component in addition to the meat/meat alternates and grains components. Manufacturers may choose to use one PFS to document the crediting information for each meal component, but must clearly identify how each component contributes to the meal pattern requirements.

- 2. **Verify the accuracy of the PFS.** CACFP facilities must review the information below.
 - Determine that the creditable ingredients listed in the PFS match a description in the FBG. If a PFS for a specific product claims to provide a higher meal component credit than the amount listed in the FBG, the PFS must clarify all credited ingredients and demonstrate how the product provides that credit according to the USDA's regulations, guidance, or policy.
 - Verify that the product's stated credit toward the USDA's
 meal pattern requirements is not greater than the serving size
 of the product. For example, a 2.2-ounce beef patty cannot
 credit for more than 2 ounces of the meat/meat alternates
 component.



• Assure that the creditable components are visible in the finished product. To claim a contribution toward the meat/meat alternates component, the product must have a visible meat or meat alternate (such as a sausage link, beans, cheese, or peanut butter), and the PFS must specify the method for crediting these items.

The USDA encourages CACFP facilities to review product literature carefully. CACFP facilities are responsible if the meals they serve do not meet the meal pattern requirements.

CN-labeled products provide CACFP facilities with a warranty against audit claims when products are used according to the manufacturer's instructions. Unlike a CN label, a PFS does not provide any warranty against audit claims and is not monitored by the USDA. CACFP facilities must check the manufacturer's crediting information for accuracy *prior* to including the item in reimbursable meals.

For additional guidance on accepting product documentation, see the CSDE's handout, *Accepting Processed Product Documentation in the CACFP*, and CSDE Operational Memo No. 7A-16, 9C-16 and 9H-16: Requirements for Documenting CACFP Meal Pattern Contribution of Processed Foods.

Resources

Accepting Processed Product Documentation in the CACFP (CSDE): https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/Crediting/AcceptDocCACFP.pdf

CN Labeling Food Manufacturers/Industry (USDA webpage):

https://www.fns.usda.gov/cnlabeling/food-manufacturersindustry

Food and Nutrition Service (FNS) instructions (CSDE webpage):

https://portal.ct.gov/SDE/Nutrition/FNS-Instructions-for-Child-Nutrition-Programs

Food Buying Guide for Child Nutrition Programs (USDA):

https://www.fns.usda.gov/tn/food-buying-guide-for-child-nutrition-programs

Tips for Evaluating a Manufacturer's Product Formulation Statement (USDA):

https://fns-prod.azureedge.net/sites/default/files/cn/manufacturerPFStipsheet.pdf

USDA Policy Memos for the Child and Adult Care Food Program (CACFP) (USDA):

https://www.fns.usda.gov/cacfp/policy

Using Child Nutrition (CN) Labels in the CACFP:

http://portal.ct.gov/-/media/SDE/Nutrition/CACFP/Crediting/CNlabelCACFP.pdf



For more information, Contact - P.O Box 1437, Slot S-155 Little Rock, Arkansas 72203 or call 501.682.8869

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- mail: U.S. Department of Agriculture Office of the Assistant Secretary for Civil Rights 1400 Independence Avenue, SW Washington, D.C. 20250-9410;
- (2) fax: (202) 690-7442; or
- (3) email: program.intake@usda.gov.

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